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Patent
Attorney's Docket No. 027260-478

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Patent Application of)

Masao IMAKI et al.)

Group Art Unit: 2881)

Application No.: 09/988,662)

Examiner: Unassigned)

Filed: November 20, 2001)

For: WAVELENGTH MONITOR AND
SEMICONDUCTOR LASER DEVICE)

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

JP 5-149793;

JP 58-12831; and


JP 63-193004.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully-requested that an Examiner initialed copy of this form be returned to the undersigned.

The Examiner's attention is also directed to copending U.S. Patent Application number 09/617,089 naming as inventors Masao Imaki, Yoshihito Hirano, Makoto Satou, Kenji Masuda, and Takeshi Mura and filed on July 14, 2000, and the documents cited therein, a copy of which is enclosed. U.S. Patent Application No. 09/617,089 is a continuation of International Application PCT/JP00/02047 filed on March 30, 2000, which was published in the Japanese language on

August 9, 2001 as WO 01/57487. A copy of the cover page of WO 01/57487 is attached to copending U.S. Patent Application No. 09/617,089.

 In accordance with MPEP § 609(c)(2) (February 2000, page 600-107), the Office is requested to return a copy of this Information Disclosure Statement with the Examiner's initials adjacent to this paragraph indicating that this copending application has been considered. By citation to the copending application, confidentiality is not waived and the Office is requested to maintain the confidentiality of the copending application under 35 U.S.C. § 122.

Respectfully submitted,

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